



OFFICE OF COMMISSIONER OF INSURANCE

COMMISSIONER OF INSURANCE • INDUSTRIAL LOAN COMMISSIONER • SAFETY FIRE COMMISSIONER

Ralph T. Hudgens, Commissioner

2 Martin Luther King Jr., Dr., Suite 604, West Tower, Atlanta, GA 30334

Phone: 404-656-7556 ♦ Fax: 770-344-5798 ♦ E-mail: TBrewster@oci.ga.gov



www.oci.ga.gov

ANNUAL REPORT OF PREPAID LEGAL SERVICES SPONSOR

**NON-TRADITIONAL
LIMITED RISK ENTITIES
GID-039-NT JAN2014**

For the Year Ended December 31, _____

DUE ON OR BEFORE MARCH 1ST

**NOTE: Where insufficient space is provided to set forth the facts adequately, annex schedule giving the details.
READ THE INSTRUCTIONS CAREFULLY BEFORE COMPLETING THIS REPORT**

SCHEDULE A - General

1.	Licensee Name:				
	Licensee Number:				
2.	Address:				
3.	Business Type:	Corporation	Partnership	Association	Individual
4.	Date Licensee began business in Georgia				
5.	Business other than Prepaid Legal Service business conducted in same office:				
6.	Name of Principal Officers at close of year covered by this report:				
	(A)	President:			
	(B)	Secretary:			
	(C)	Treasurer:			
	(D)	Owner:			
(E)	Partners:				

SCHEDULE B - Balance Sheets - As Per Books

As at December 31,		and December 31,	
Assets		Column 1	Column 2
		End of Present Year	End of Previous Year
7.	Loans Receivable		
8.	Cash in Office and in Banks		
9.	Accounts Receivable:		
	(A) Parent and/or Affiliated Companies		
	(B) Other		
10.	Notes Receivable-Other		
11.	Deferred Charges and Prepaid Expenses		
12.	Fixed Assets (Less Reserve for Depreciation and Amortization)		
13.	Other Assets:		
	(A) Organization and Development Expenses		
	(B) Cost of Financing		
	(C) All Other Assets		
14.	Total Assets		

LIABILITIES AND CAPITAL		Column 1	Column 2
		End of Present Year	End of Previous Year
15.	Accounts and Notes Payable:		
	A) Banks		
	(B) Due to Parent Company and/or Affiliated Companies		
	(C) Other		
16.	Bonds		
17.	Other Liabilities:		
	(A) Accrued Expenses		
	(B) All Other Liabilities		

LIABILITIES AND CAPITAL—continued		Column 1 End of Present Year	Column 2 End of Previous Year
18.	Expenses Reserves:		
	(A) Reserve for Bad Debts-Loans Receivable		
	(B) Other Reserves		
19.	Deferred Income:		
	(A) Unearned Interest and Fees-Loans Receivable		
	(B) All Other Deferred Income		
20.	Branch Office Capital		
21.	Net Worth (If Proprietorship or Partnership)		
22.	Capital Stock (If Corporation)		
23.	Paid in Surplus		
24.	Earned Surplus		
25.	Total Liabilities and Capital		

SCHEDULE C - Statement of Income and Expense

As at December 31,		and December 31,	
GROSS INCOME DERIVED FROM PREPAID LEGAL SERVICES BUSINESS		Column 1 End of Present Year	Column 2 End of Previous Year
26.	Earned Interest Less Refunds		
27.	Earned Fees Less Refunds		
28.	Collections on Loans Previously Charged Off		
29.	All Other Income From Prepaid Legal Services Business (Attach Schedule)		
30.	Total Gross Income Derived from Prepaid Legal Services Business (Items 26 to 29)		
EXPENSES OF CONDUCTING PREPAID LEGAL SERVICES BUSINESS		Column 1 End of Present Year	Column 2 End of Previous Year
31.	Advertising		
32.	Automobile Expense		
33.	Bad Debts, or Reserve for Bad Debts		
34.	Credit and Collection Expense		
35.	Depreciation and Amortization of Fixed Assets		
36.	Donations, Dues, Subscriptions		
37.	Expense, Sundry		
38.	Heat, Light and Water		
39.	Insurance		
40.	Postage and Express		
41.	Legal and Auditing Expense		
42.	Printing, Stationery and Supplies		
43.	Recording Fees-Net		
44.	Rent		
45.	Salaries		
46.	Supervision and Administration (When not Allocated to Other Items)		
47.	Taxes:		
	(A) License Taxes		
48.	Telephone and Telegraph		
49.	Travel		
50.	Other Expenses of Conducting Prepaid Legal Services Business (Explain)		
	(A)		
	(B)		
	(C)		
51.	Total Expenses of Conducting Prepaid Legal Services Business (Items 31 to 50)		
52.	Total Net Earnings Derived from Prepaid Legal Services Business for the Period Before Deducting Interest on Borrowed Funds and Federal and State Taxes on Income (Item 30 Less Item 51)		

SCHEDULE D - Reconciliation of Surplus or Net Earnings

As at December 31,		and December 31,	
SURPLUS, ADDITIONS AND DEDUCTIONS		Column 1 End of Present Year	Column 2 End of Previous Year
53.	Surplus Balance at End of Previous Period, Per Books (Item 24, Column 2)		
ADDITIONS:			
54.	Total Net Earnings Derived From Prepaid Legal Services Business for the Period (Item 52)		
55.	Other Credits to Surplus for the Period (Attach Explanation)		
56.	Total Additions for the Period (Items 54 to 55)		
DEDUCTIONS:			
57.	Federal and State Taxes on Income		
58.	Interest Paid		
59.	Amortization of Financing Cost		
60.	Dividends Paid During the Period		
61.	Other Charges to Surplus for the Period: (A) Transfer of Earnings to Net Worth or Home Office Control (B) All Other Charges		
62.	Total Deductions for the Period (Items 57 to 61)		
63.	Net Additions to Previous Periods Surplus Balance (Item 53 Plus Item 62)		
64.	Surplus Balance at End of Present Period - As Per Books (Item 53 Plus Item 63) (This amount should be the same as Item 24 Column 1)		

SCHEDULE E - Attachments

1.	Attach to Form GID-40 as "Attachment No. E-1", a statistical listing of the numbers and types of claims paid and the average dollar amount of each type of claim.
2.	Attach to Form GID-40 as "Attachment No. E-2", an alphabetical listing of the groups including number of subscribers in each, that subscribe to this plan.
3.	Attach a copy of all advertising or solicitation materials the plan is using must be enclosed as a part of the materials comprising the Annual Report.

AFFIDAVIT

State _____ County _____

_____, the undersigned, being the
_____, of the
(Title, if a corporation)

(Name of the prepaid legal services sponsor)

swear, or affirm, that to the best of my knowledge and belief, the statements contained in this application, including the accompanying statements (if any), are true and complete.

BY _____

Subscribed and sworn to before me this _____ day of _____, _____

(SEAL)

(Notary Public)

Commission Expiration Date